

AN ORDINANCE OF THE SAC AND FOX NATION OF MISSOURI IN KANSAS AND
NEBRASKA IN RESPECT OF THE ARBITRATION OF DISPUTES

ORDINANCE NO. 1-04

Section 1. Purpose and Authority.

- (A) *Purpose.* It is the purpose and policy of this Ordinance to authorize the arbitration of disputes in contractual agreements and to provide for the enforcement of agreements to arbitrate, and resulting arbitration awards, by the Tribal Court.
- (B) *Authority.* This Ordinance is enacted by the Tribal Council of the Sac and Fox Nation of Missouri in Kansas and Nebraska ("Tribe") pursuant to the Authority conferred upon the Tribal Council in Articles V, Section 1 clause f of the Constitution of the Tribe.

Section 2. Scope of Ordinance.

This Ordinance applies to any written contract, agreement or other instrument entered into by the Tribe, by any subdivision, instrumentality or affiliate of the Tribe, or by any other person in a transaction that is subject to the jurisdiction of the Tribe, in which the parties thereto agree to settle by arbitration any claim dispute or controversy arising out of such contract, agreement or other instrument. Any prior legislation or other Tribal laws which are inconsistent with the purpose and procedures established by this Ordinance are hereby repealed to the extent of any such inconsistency.

Section 3. Agreements to Arbitrate are Enforceable.

An agreement in any written contract, agreement, or other instrument, or in a separate writing executed by the parties to any written contract, agreement or other instrument, to settle by arbitration any claim, dispute or controversy thereafter arising out of such contract, agreement or other instrument, or any other transaction contemplated thereunder, or a written agreement between two or more persons to submit to arbitration any claim, dispute or controversy existing between them at the time of the agreement, shall be valid, irrevocable and enforceable.

Section 4. Law to be Applied.

- (A) In any contract, agreement or instrument described in Section 2 of this Ordinance, the parties may agree upon the jurisdiction whose substantive law shall govern the interpretation and enforcement of the contract, agreement, instrument or claim, dispute or controversy. Such choice of law shall be valid and enforceable, and not subject to revocation by one party without the consent of the other party or parties thereto, provided that the subject matter of the contract, agreement, instrument or claim, dispute or controversy, or at least one of the parties thereto, shall have some contact with the jurisdiction so selected.

- (B) In any proceeding in the Tribal Court relating to a contract, agreement or instrument described in Section 2 of this Ordinance, whenever the contract, agreement or other instrument sets forth a choice of law provision, the Tribal Court shall apply the procedural rules of the Tribal Court and the substantive law of the jurisdiction selected in such choice of law provision; provided that no procedural rule of the Tribal Court shall bar, delay or impair any action, proceeding or remedy where such action, proceeding or remedy would not be barred, delayed or impaired by the procedural rules of the courts of the jurisdiction whose substantive law applies.
- (C) In any proceeding in the Tribal Court relating to a contract, agreement or instrument described in Section 2 of this Ordinance, whenever the contract, agreement or other instrument does not set forth a choice of law provision, the Tribal Court shall apply the substantive law of the Tribe, including any applicable choice of law principles.

Section 5. Stay of Proceedings in Tribal Court and Order to Proceed with Arbitration.

- (A) If any action for legal or equitable relief or other proceeding is brought in the Tribal Court by any party to any contract, agreement or instrument described in Section 2 of this Ordinance, the Tribal Court shall not review the merits of the pending action or proceeding, but shall stay the action or proceeding until an arbitration has been had in compliance with the agreement.
- (B) If any party to any contract, agreement or instrument described in Section 2 of this Ordinance, claiming the neglect or refusal of another party thereto to proceed with an arbitration thereunder, makes application to the Tribal Court for an order directing the parties to proceed with the arbitration in compliance with their agreement, the Tribal Court shall order the parties to arbitration in accordance with the provisions of the contract, agreement or instrument and the question of whether an obligation to arbitrate the dispute at issue exists shall be decided by the arbitrator(s).

Section 6. Advice of the Court.

At any time during an arbitration, upon request of all the parties to the arbitration, the arbitrator(s) may make application to the Tribal Court for advice on any question of tribal or state law arising in the course of the arbitration so long as such parties agree in writing that the advice of the Court shall be final as to the question presented and that it shall bind the arbitrator(s) in rendering any award.

Section 7. Time within Which Award shall be Rendered.

- (A) If the time within which an award is rendered has not been fixed in the arbitration agreement, the arbitrator(s) shall render the award within thirty days from the date the arbitration has been completed. The parties may expressly agree to extend the time in which the award may be made by an extension or ratification thereof in writing.
- (B) An arbitration award shall be in writing and signed by the arbitrator(s). The arbitrator(s) shall provide written notice of the award to each party by certified or registered mail, return receipt requested.

Section 8. Application for Order Confirming Award; Record to be Filed with Clerk of Court; Effect and Enforcement of Judgment.

- (A) At any time within one year after an arbitration award has been rendered and the parties thereto notified thereof, any party to the arbitration may make application to the Tribal Court for an order confirming the award.
- (B) Any party applying to the Tribal Court for an order confirming an arbitration award shall, at the time the order is filed with the Clerk of the Tribal Court for entry of judgment thereon, file the following papers with the Clerk: (1) the agreement to arbitrate; (2) the selection or appointment, if any, of the arbitrator(s); (3) any written agreement requiring the reference of any question as provided in Section 6; (4) each written extension of the time, if any, within which to make the award; (5) the award; (6) each notice and other paper used upon an application to confirm; and (7) a copy of each order of the Tribal Court upon such an application.
- (C) An arbitration award shall not be subject to review or modification by the Tribal Court for any reason other than in the circumstances described in 9 U.S.C. §§ 9 and 10. The judgment confirming an award shall be docketed as if it were rendered in a civil action. The judgment so entered shall have the same force and effect in all respects as, and be subject to all the provisions of law relating to, a judgment in a civil action, and it may be enforced as if it has been rendered in a civil action in the Tribal Court. When the award requires the performance of any other act than the payment of money, the Tribal Court may direct the enforcement thereon in the manner provided by law.

Section 9. Arbitration Award Not Appealable.

No further appeal may be taken from an order issued by the Tribal Court pursuant to this Ordinance enforcing an agreement to arbitrate or an award issued by an arbitrator.

Section 10. Jurisdiction of the Tribal Court.

To the extent allowed by federal law, the jurisdiction of the Tribal Court over any action to enforce an agreement to arbitrate, to compel arbitration pursuant to such an agreement to arbitrate and to enforce an award made by an arbitrator pursuant to such agreement to arbitrate, contained in any contract, agreement or other instrument described in Section 2 of this Ordinance, shall be concurrent with the jurisdiction of any state or federal court to the jurisdiction of which the parties to such contract, agreement or other instrument shall have explicitly consented therein. Any consent to the jurisdiction of a state or federal court contained in a contract, agreement or other instrument described in Section 2 of this Ordinance, and any waiver of the obligation of the parties to exhaust Tribal Court remedies, shall be valid and enforceable in accordance with its terms.

Section 11. Severability.

If any section or part thereof of this Ordinance or the application thereof to any party shall be held invalid for any reason whatsoever by a court of competent jurisdiction or by federal

legislative action, the remainder of the relevant section or part of this Ordinance shall not be affected thereby and shall remain in full force and effect.

Section 12. No Waiver of Sovereign Immunity.

Nothing in this Ordinance shall be interpreted to provide a waiver of the sovereign immunity of the Tribe or any of its officers, employees or agents acting within the scope of their authority.

EFFECTIVE DATE

This Ordinance shall become effective immediately upon adoption by a majority vote of the Tribal Council.

CERTIFICATION

We the undersigned officers of the Sac and Fox Nation of Missouri in Kansas and Nebraska do hereby certify that the foregoing ordinance was considered at a special meeting of the Tribal Council of the Sac and Fox Nation of Missouri in Kansas and Nebraska duly called and held on the 8th day of July, 2004, and was adopted by a vote of 3 for, 0 against and 2 abstentions. A quorum of 5 was present, and such ordinance has not been rescinded or amended in any way.

Dated this 8th day of July, 2004.

Sandra Kco

Sandra Kco,
Tribal Chairperson, Sac and Fox Nation of
Missouri in Kansas and Nebraska

ATTEST:

Edmore Green

Edmore Green,
Secretary, Sac and Fox Nation of Missouri
in Kansas and Nebraska